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PTO/SB/81 (02-01)

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PATENT & TRADEMARK OFFICE

**POWER OF ATTORNEY OR  
AUTHORIZATION OF AGENT**

<b>Application Number</b>	09/846,033
<b>Filing Date</b>	April 30, 2001
<b>First Named Inventor</b>	Edward Rebar
<b>Title</b>	Regulation of Angiogenesis with Zinc Finger Proteins
<b>Group Art Unit</b>	1646
<b>Examiner Name</b>	Not yet assigned
<b>Attorney Docket Number</b>	019496-005820US

I hereby appoint:

☒ Practitioners at Customer Number  
**OR**

20350

Place Customer  
Number Bar Code  
Label here

☒ Practitioner(s) named below:

Name	Registration Number
Sean M. Brennan	39,917

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

☐ The above-mentioned Customer Number.

**OR**

☐ Practitioners at Customer Number

☐ Firm or  
Individual Name

Address

Address

City

State

ZIP

Country

Telephone

Fax

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

**SIGNATURE of Applicant or Assignee of Record**

Name Peter Bluford, Vice President of Corporate Development

Signature

Date

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☐ \*Total of forms are submitted.

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any Comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. PA 3186393 v1

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Sangamo BioSciences, Inc.Application No./Patent No.: 09/846,033Filed/Issue Date: April 30, 2001Entitled: Regulation of Angiogenesis with Zinc Finger ProteinsSangamo BioSciences, Inc., a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

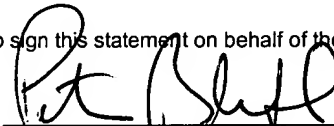
☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

11-6-01

Date



Signature

Peter Bluford

Typed or printed name

Vice President of Corporate Development

Title

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

ASSIGNMENT OF PATENT APPLICATION

**COPY**

JOINT

WHEREAS, Edward Rebar, of 1609 Roger Court, El Cerrito, CA 94530; Andrew Jamieson, of 2528 Sutter Street, San Francisco, CA 94115; Qiang Liu, of 55 Williams Lane, Foster City, CA 94404; Pei-Qi Liu, of 2266 Bristlecone Drive, Richmond, CA 94803; Alan Wolffe, of 155 Alice Lane, Orinda, CA 94563; Stephen P. Eisenberg, of 5664 Pennsylvania Place, Boulder, CO 80303; Eric Jarvis, of 2265 Dartmouth Avenue, Boulder, CO 80305; hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: REGULATION OF ANGIOGENESIS WITH ZINC FINGER  
PROTEINS

Date(s) of execution of Declaration:

Filing Date: April 30, 2001

Application No.: 09/846,033; and

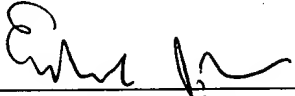
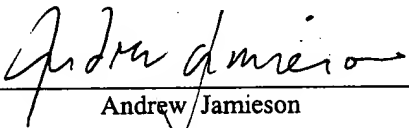
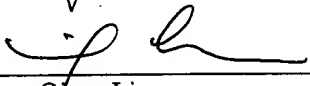
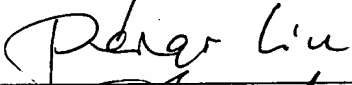
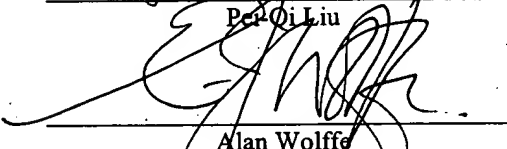
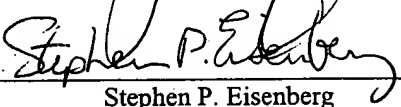
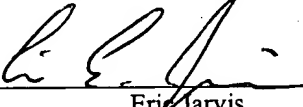
WHEREAS, Sangamo BioSciences, Inc., a corporation of the state of Delaware, located at Point Richmond Tech Center, 501 Canal Blvd., Suite A100, Richmond, CA, 94804, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

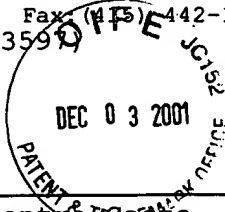

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignors hereby authorize and request Townsend and Townsend and Crew LLP, Two Embarcadero Center, 8<sup>th</sup> Floor, San Francisco, CA 94111-3834, to insert herein above the application number and filing date of said application when known.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Dated: <u>11/6/01</u>	 _____ Edward Rebar
Dated: <u>11/6/01</u>	 _____ Andrew Jamieson
Dated: <u>11/06/01</u>	 _____ Qiang Liu
Dated: <u>11/6/2001</u>	 _____ Peng Li
Dated: <u>11/06/01</u>	 _____ Alan Wolffe
Dated: <u>10/30/2001</u>	 _____ Stephen P. Eisenberg
Dated: <u>11/3/2001</u>	 _____ Eric Jarvis

ATTORNEY OR PARTY WITHOUT ATTORNEY (Name, state bar number, and address): (415) 442-0900 W. SCOTT THOMAS, ESQ. (STATE BAR NO. 63599) BROBECK, PHLEGER & HARRISON LLP One Market, Spear Street Tower  San Francisco, CA 94105 ATTORNEY FOR (Name): Elizabeth J. Wolffe <b>SUPERIOR COURT OF CALIFORNIA, COUNTY OF</b> Contra Costa STREET ADDRESS: 725 Court St., Room 103 MAILING ADDRESS: CITY AND ZIP CODE: Martinez, CA 94553-1233 BRANCH NAME: ESTATE OF (Name): ALAN P. WOLFFE DECEMENT	TELEPHONE AND FAX NOS.: (415) 442-1010 Fax (415) 442-1010 <div style="text-align: center;">  </div> <div style="text-align: center;"> <b>FILED</b>          AUG 29 2001   </div> CASE NUMBER: <div style="text-align: center; font-size: 1.2em;">         P01 - 01211       </div>
<b>ORDER FOR PROBATE</b> ORDER <input type="checkbox"/> Executor APPOINTING <input type="checkbox"/> Administrator with Will Annexed <input type="checkbox"/> Administrator <input checked="" type="checkbox"/> Special Administrator Order Authorizing Independent Administration of Estate <input checked="" type="checkbox"/> with full authority <input type="checkbox"/> with limited authority	
<b>WARNING: THIS APPOINTMENT IS NOT EFFECTIVE UNTIL LETTERS HAVE ISSUED.</b>	

1. Date of hearing: \_\_\_\_\_ Time: \_\_\_\_\_ Dept./Room: \_\_\_\_\_ Judge: \_\_\_\_\_

**THE COURT FINDS**

2. a. All notices required by law have been given.  
 b. Decedent died on (date): 05/26/01  
     (1) ☒ a resident of the California county named above.  
     (2) ☐ a nonresident of California and left an estate in the county named above.  
 c. Decedent died  
     (1) ☐ intestate  
     (2) ☒ testate  
     and decedent's will dated: July 24, 1996  
     was admitted to probate by Minute Order on (date): \_\_\_\_\_

and each codicil dated: \_\_\_\_\_

**THE COURT ORDERS**

3. (Name): Elizabeth J. Wolffe  
 is appointed **personal representative**:

- a. ☐ executor of the decedent's will  
 b. ☐ administrator with will annexed  
 c. ☐ administrator

- d. ☒ special administrator  
     D.G. (1) ☒ with general powers  
     (2) ☒ with special powers as specified in Attachment 3d(2)  
     (3) ☒ without notice of hearing  
     (4) ☐ letters will expire on (date): \_\_\_\_\_

and letters shall issue on qualification.

4. a. ☒ **Full Authority** is granted to administer the estate under the Independent Administration of Estates Act.  
 b. ☐ **Limited authority** is granted to administer the estate under the Independent Administration of Estates Act (there is no authority, without court supervision, to (1) sell or exchange real property or (2) grant an option to purchase real property or (3) borrow money with the loan secured by an encumbrance upon real property).  
 5. a. ☒ Bond is not required.  
 b. ☐ Bond is fixed at: \$ \_\_\_\_\_ to be furnished by an authorized surety company or as otherwise provided by law.  
 c. ☐ Deposits of: \$ \_\_\_\_\_ are ordered to be placed in a blocked account at (specify institution and location): \_\_\_\_\_ and receipts shall be filed. No withdrawals shall be made without a court order. ☐ Additional orders in Attachment 5c.  
 d. ☐ The personal representative is not authorized to take possession of money or any other property without a specific court order.  
 6. ☐ (Name): \_\_\_\_\_ is appointed probate referee.

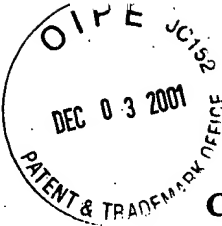
Date: \_\_\_\_\_

**AUG 30 2001**

JUDGE OF THE SUPERIOR COURT

7. Number of pages attached: 1

☐ SIGNATURE FOLLOWS LAST ATTACHMENT



**ESTATE OF ALAN P. WOLFFE  
CONTRA COSTA COUNTY SUPERIOR COURT**

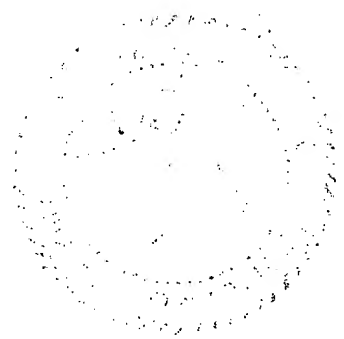
**ATTACHMENT 3d TO PETITION FOR LETTERS  
OF SPECIAL ADMINISTRATION**

The Petitioner respectfully requests that the court grant Letters of Special Administration to the Petitioner for the purpose of endorsing certain patent applications and stock documentation in connection with the decedent's business.

The Petitioner is the decedent's surviving spouse, and is the nominated Executor and sole beneficiary under the decedent's Will. The decedent was a research scientist, employed by Sangamo BioSciences, Inc., in Richmond, California. In his capacity as employee, the decedent developed a number of inventions, which are the intellectual property of his employer. In order to apply for patents for these inventions, the Petitioner, as personal representative of the decedent, must execute certain documentation. The patent applications must be filed immediately to avoid the loss of any such intellectual property by Sangamo BioSciences, Inc.

The Petitioner has also been advised by the Board of Directors of Sangamo BioSciences, Inc. that she, as personal representative of the decedent, must execute as soon as possible certain stock certificates and other documentation, necessary to transfer the stocks to her name as personal representative, in order for her to exercise her rights as shareholder in the company. These actions are required immediately, and are necessary and proper under the circumstances.

The Petitioner, as sole beneficiary, will be filing a Spousal Property Petition to effect the transfer of the decedent's assets to her pursuant to the terms of the decedent's Will. In the interim, her immediate appointment as Special Administrator is necessary in order to orderly administer and to avoid losses by the estate.



ATTORNEY OR PARTY WITHOUT ATTORNEY (Name, address, telephone number, and address):

TELEPHONE AND FAX NOS.:

FOR COURT USE ONLY

(415) 442-0900  
 W. SCOTT THOMAS, ESQ. (STATE BAR NO. 63597)  
 BROBECK, PHLEGER & HARRISON LLP  
 One Market, Spear Street Tower

Fax: (415) 442-1010

San Francisco, CA 94105

ATTORNEY FOR (Name): Elizabeth J. Wolffe

SUPERIOR COURT OF CALIFORNIA, COUNTY OF Contra Costa

STREET ADDRESS: 725 Court St., Room 103

MAILING ADDRESS:

CITY AND ZIP CODE: Martinez, CA 94553-1233

BRANCH NAME:

ESTATE OF (Name): ALAN P. WOLFFE

DECEDENT

## LETTERS

☐ TESTAMENTARY☐ OF ADMINISTRATION WITH WILL ANNEXED☐ OF ADMINISTRATION☒ SPECIAL ADMINISTRATION

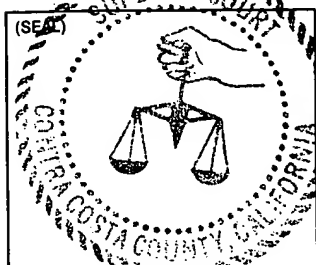
CASE NUMBER:

P01-01211

## LETTERS

1. ☐ The last will of the decedent named above having been proved, the court appoints (name):
- a. ☐ executor.  
 b. ☐ administrator with will annexed.
2. ☒ The court appoints (name): Elizabeth J. Wolffe
- a. ☐ administrator of the decedent's estate.  
 b. ☒ special administrator of decedent's estate  
 (1) ☒ with the special powers specified in the Order for Probate.  
 (2) ☐ with the powers of a general administrator.  
 (3) ☐ letters will expire on (date):
3. ☐ The personal representative is authorized to administer the estate under the Independent Administration of Estates Act ☐ with full authority ☐ with limited authority (no authority, without court supervision, to (1) sell or exchange real property or (2) grant an option to purchase real property or (3) borrow money with the loan secured by an encumbrance upon real property).
4. ☐ The personal representative is not authorized to take possession of money or any other property without a specific court order.

WITNESS, clerk of the court, with seal of the court affixed.



Date:

AUG 29 2001

Clerk, by

CLERK OF THE  
SUPERIOR COURT

Emelendres

(DEPUTY)

## AFFIRMATION

1. ☐ PUBLIC ADMINISTRATOR: No affirmation required (Prob. Code, § 7621(c)).
2. ☒ INDIVIDUAL: I solemnly affirm that I will perform the duties of personal representative according to law.
3. ☐ INSTITUTIONAL FIDUCIARY (name):

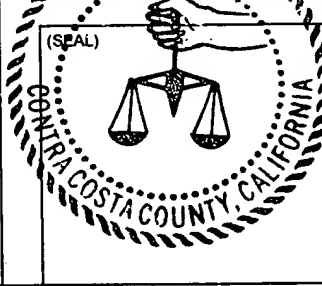
I solemnly affirm that the institution will perform the duties of personal representative according to law.  
 I make this affirmation for myself as an individual and on behalf of the institution as an officer.  
 (Name and title):

4. Executed on (date): 08/29/01  
 at (place): Martinez, California.

(SIGNATURE)

## CERTIFICATION

I certify that this document is a correct copy of the original on file in my office and the letters issued the personal representative appointed above have not been revoked, annulled, or set aside and are still in full force and effect.



Date:

SEP 18 2001

Clerk, by

CLERK OF THE COURT

(DEPUTY)





**ESTATE OF ALAN P. WOLFFE  
CONTRA COSTA COUNTY SUPERIOR COURT**

**ATTACHMENT 3d(2) TO ORDER FOR LETTERS  
OF SPECIAL ADMINISTRATION**

ELIZABETH J. WOLFFE, is hereby appointed as Special Administrator of the Estate of ALAN P. WOLFFE, with the following special powers:

1. The power to execute patent applications and related documents as personal representative of the decedent;
2. The power to execute stock certificates and related documents as personal representative of the decedent; and
3. The power to take any other actions necessary, as personal representative, to preserve the assets of the estate.